

966 U.S. PRO

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**FACSIMILE 314-231-4342**  
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**PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS**

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**STUART N. SENNIGER**  
**(1921-1997)**

November 21, 2000

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: GNA 1804.1  
First Named Inventor: Bernard H. Wolzenski  
Title: PROCESS FOR COMPREHENSIVE FINANCIAL AND ESTATE PLANNING  
Express Mail Label Number: EL 594420348 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 28]
4. ☒ Drawings [Total Sheets 05]
5. Oath or Declaration [Total Pages 04]
  - a. ☐ Newly executed (original or copy)  
☒ New (unexecuted)
  - b. ☐ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.
6. ☐ Incorporation By Reference  
(useable if Box 4b is marked)

The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

7. ☐ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application No.: \_\_\_\_\_
- ☒ Complete Application based on provisional Application No. 60/167,083

Prior application information: Examiner: None  
Group Art Unit:

CORRESPONDENCE ADDRESS

18. Correspondence Address: Customer Number 321  
Attention: Robert M. Bain

Respectfully submitted,

Robert M. B.

Robert M. Bain, Reg. No. 36,736

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### METHOD OF PAYMENT

- ### FEE CALCULATION

1. [X] BASIC FILING FEE Subtotal (1) \$ 710.00  
(Type: Utility)
2. [X] EXTRA CLAIM FEES Subtotal (2) \$ 72.00
- Total Claims 24  
Independent Claims 2  
Multiple Dependent Claims -0-
3. [ ] ADDITIONAL FEES Subtotal (3) \$ \_\_\_\_\_
- [ ] Surcharge - late filing fee or oath  
[ ] Surcharge - late provisional filing fee or cover sheet  
[ ] Extension for reply within \_\_\_\_\_ month  
[ ] Notice of Appeal  
[ ] Filing a Brief in Support of an appeal  
[ ] Request for ex parte Reexamination  
[ ] Petitions to the Commissioner  
[ ] Submission of Information Disclosure Statement  
[ ] Recording each patent assignment per property  
[ ] Request for Continued Examination  
[ ] Other:

**TOTAL AMOUNT OF PAYMENT**      \$ 782.00

Robert M. Bain 11/21/08  
Robert M. Bain, Reg. No. 36,736 Date

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